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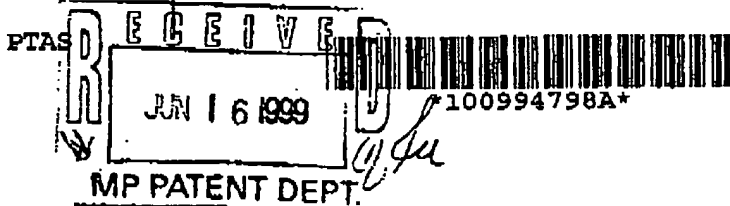
* Assignment for USSN 09/22,139 ; USSN 09/22,139 is a continuation of 09/22,139



UNITED STATES DEPARTMENT OF COMMERCE
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JUNE 08, 1999

WARNER-LAMBERT COMPANY
ROSE ARMSTRONG
LEGAL DIVISION, PATENTS
201 TABOR ROAD
MORRIS PLAINS, NJ 07950



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/16/1999

REEL/FRAME: 9835/0825
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SCOTT, ROBERT A.

DOC DATE: 02/24/1999

ASSIGNOR:
CADE, DOMINIQUE

DOC DATE: 02/24/1999

ASSIGNOR:
HE, XIONGWEI

DOC DATE: 02/11/1999

ASSIGNEE:
WARNER-LAMBERT COMPANY
201 TABOR ROAD
LEGAL DIVISION-PATENTS
MORRIS PLAINS, NEW JERSEY 07950

SERIAL NUMBER: 09221139
PATENT NUMBER:

FILING DATE: 12/24/1998
ISSUE DATE:

9835/0825 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Docket No.: 6512-11-CWAASSIGNMENT

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below.

In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): _____

Filing Date (if known): _____

Execution Date(s) of Application: _____

Title: POLYVINYL ALCOHOL COMPOSITIONS

FULL NAME OF INVENTOR : Robert A. Scott

Signature of Inventor : RA Scott

Address : Königin Elisabethplein 26,
bus 4,
B-9100 Sint Niklaas
BELGIUM

FULL NAME OF INVENTOR

:

~~Dominique Cadé~~

2

Signature of Inventor

:

Address

:

~~11, Rue des Américains
F-68008 Colmar
FRANCE~~

STATE OF

BELGIUM

COUNTY OF

BORNEM

On 24 Feb 1999, the above-named inventor R.A. SCOTT personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

My Commission Expires:

(SEAL)

Notary Public

NOTARIS H. MAEYENS
Gleuwaert 1C - 2620 BORNEM
Tel. 03 / 889 27 89

FULL NAME OF INVENTOR

:

Xiongwei He

Signature of Inventor

Address

:

3, rue du Jura
F-68280 Andolsheim
FRANCE

STATE OF

COUNTY OF

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

(SEAL)

ca2v3326.doc

/ POSTULE.

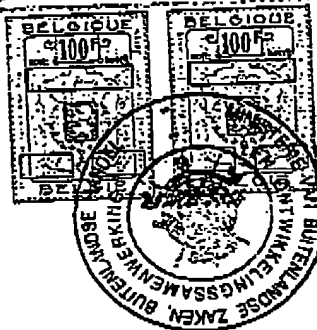
(Convention de La Haye du 5 octobre 1961).

1. Land : België
Deze operatie is
2. is ondertekend door *P. Nuyens*
3. handelende in hoedanigheid van *advocaat*
4. is voorzien van het zegel / stempel van *Arthur B. B. B.*

Voor echt verklaard

5. te Brussel 6. de *25-02-1993*
7. door het Ministerie van Buitenlandse Zaken,
Buitenlandse Handel en Ontwikkelingssamenwerking
8. onder nr. *17*
9. Zegel / Stempel : 10. Ondertekening :

243



Docket No.: 6512-11-CWAASSIGNMENT

USA

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below.

In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): _____

Filing Date (if known): _____

Execution Date(s) of Application: _____

Title: POLYVINYL ALCOHOL COMPOSITIONS

FULL NAME OF INVENTOR : Robert A. Scott

Signature of Inventor : _____

Address : Königin Elisabethplein 26,
bus 4,
B-9100 Sint Niklaas
BELGIUM

2

FULL NAME OF INVENTOR : Dominique Cadé

Signature of Inventor :

Address :

11, Rue des Americains
F-68000 Colmar
FRANCE

STATE OF _____

COUNTY OF _____

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

(SEAL)

FULL NAME OF INVENTOR : Xiongwei He

Signature of Inventor :

Address :

3, rue du Jura
F-68280 Andolsheim
FRANCE

STATE OF _____

COUNTY OF _____

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

(SEAL)

ca2v3326.doc

LEGALISATION DE SIGNATURE

Je soussigné Maître Paul ZIPPERT Notaire à la Résidence de 67390
MARCKOLSHEIM 21 Rue Clémenceau (FRANCE),

légalise par ces présentes la signature de Monsieur Dominique CADE
lequel m'a présenté sa carte d'identité n° 364683 délivrée le 15 Juin 1993
par la PREFECTURE DU HAUT/RHIN à COLMAR, et la signature de Monsieur Xiongwei
Hé lequel m'a présenté sa carte de résident n° 0000706778 délivrée par la
PREFECTURE DU HAUT/RHIN à COLMAR en date du 7 Mai 1996.

Fait à MARCKOLSHEIM le 11 Février 1999

Le Notaire



ETATS-UNIS

Mr Zippert
Notaire
son étude à
à Marckolsheim

15/02/99

122/99

et No.: 6512-11-CWA **DS**FORM PTO-1595 (Modified)
(Rev. 6-83)
OMB No. 0851-0011 (exp. 4/94)
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RE

03-26-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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100994798

ned original documents or copy thereof.

To the Honorable Commissioner of Patents a

1. Name of conveying party(ies):

SCOTT, Robert A.
CADE, Dominique
HE, XiongweiAdditional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: R.Scott 2/24/99; D.Cade & X.He 2/11/99

2. Name and address of receiving party(ies):

Name: Warner-Lambert CompanyInternal Address: Legal Division - PatentsStreet Address: 201 Tabor RoadCity: Morris Plains State: NJ ZIP: 07950Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: December 24, 1998

A. Patent Application No.(s)

09/221,139

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rose ArmstrongInternal Address: Warner-Lambert CompanyLegal Division, Patents

03/25/1999 JSHABAZZ 00000052 230450 09221139

01 FE:581 40.00 CH
Street Address: 201 Tabor RoadCity: Morris Plains State: NJ ZIP: 079506. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

23-0450

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

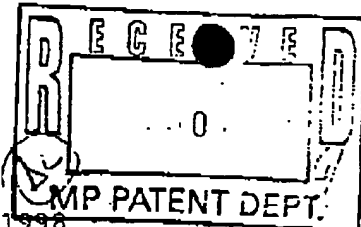
Charles W. Almer

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **5**



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 23, 1998

PTAS



100629468A

CHARLES W. ALMER
WARNER-LAMBERT COMPANY
LEGAL DIVISION - PATENTS
201 TABOR ROAD
MORRIS PLAINS, NJ 07950

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 01/20/1998

REEL/FRAME: 8938/0582
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CADE, DOMINIQUE

DOC DATE: 11/17/1997

ASSIGNOR:

HE, XIONGWEI

DOC DATE: 11/17/1997

ASSIGNOR:

SCOTT, ROBERT

DOC DATE: 11/20/1997

ASSIGNEE:

WARNER-LAMBERT COMPANY
201 TABOR ROAD
LEGAL DIVISION - PATENTS
MORRIS PLAINS, NEW JERSEY 07950

SERIAL NUMBER: 08859310
PATENT NUMBER:FILING DATE: 05/20/1997
ISSUE DATE:

* Assignment for USN 08/859,310. US Patent 6,517,865 is a continuation of 08/859,310

8938/0582 PAGE 2

LAWAN FLETCHER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

SHEET 2**INVENTOR****EXECUTION DATE OF ASSIGNMENT**

Dominique Cade

November 17, 1997

Xiongwei He

November 17, 1997

Robert Scott

November 20, 1997

PATENT/Docket No.: 6509-11-CWA

ASSIGNMENT

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below.

In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): 08/859,310

Filing Date (if known): May 20, 1997

Execution Date(s) of Application: _____

Title: POLYMER FILM COMPOSITIONS FOR CAPSULES

FULL NAME OF INVENTOR : Dominique Cade

Signature of Inventor : _____

Address : 11 Rue Des Americains
F-68000 Colmar, France

STATE OF _____

COUNTY OF _____

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

(SEAL)
CA2V3167.DOC

PATENT/Docket No.: 6509-11-CWAASSIGNMENT

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below.

In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): 08/859,310

Filing Date (if known): May 20, 1997

Execution Date(s) of Application: _____

Title: POLYMER FILM COMPOSITIONS FOR CAPSULES

FULL NAME OF INVENTOR : Dominique Cade

Signature of Inventor : _____

Address : 11 Rue Des Americains
F-68000 Colmar, France

STATE OF _____

COUNTY OF _____

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

LEGALISATION DE SIGNATURE

Je soussigné Me Paul ZIPPERT Notaire
à 67390 MARCKOLSHEIM (FRANCE) 21 rue Clémenceau
légalise par les présentes la signature de Monsieur
Dominique CADE lequel m'a présenté sa carte
d'identité n° 364683 délivrée le 15 Juin 1993 par la
*PREFECTURE DU HAUT-RHIN à COLMAR.
Fait à MARCKOLSHEIM le 17 Novembre 1997

(SEAL)

CA2V3167.DOC

FULL NAME OF INVENTOR : Robert Scott
Signature of Inventor : _____
Address : Koninin Elisabethplein
26, Bus 4
9100 Sint-Niklaas,
Belgium

STATE OF _____

COUNTY OF _____

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

(SEAL)

FULL NAME OF INVENTOR : Xiongwei He
Signature of Inventor : Xiongwei He
Address : 20 rue Ed. Richard
F-68000 Colmar
France

STATE OF _____

COUNTY OF _____

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

LEGALISATION DE SIGNATURE

(SEAL)

Je soussigné Me Paul ZIPPERT Notaire à 67390 MARCKOLS-HEIM (FRANCE) 21 rue Clémenceau, légalise par les présentes la signature de Monsieur HE XIONGWEI lequel m'a présenté son passeport n° 2663980 de la REPUBLIQUE POPULAIRE DE CHINE délivré en date du 17 Août 1995.

Fait à MARCKOLSHHEIM le 17 Novembre 1997
Le Notaire



CA2V3167.BC

Zippert

FULL NAME OF INVENTOR : Robert Scott
Signature of Inventor : RA Scott
Address : Koninin Elisabethplein
26, Bus 4
9100 Sint-Niklaas,
Belgium

STATE OF BELGIUM
COUNTY OF _____

On 20 November 1997, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

NOTARIS H. MAEYENS
Nieuwstraat 1C - 2800 BORNEM
Tel. 03 / 299 27 89

My Commission Expires:

(SEAL)



FULL NAME OF INVENTOR : Xiongwei He

Signature of Inventor : _____

Address : 20 rue Ed. Richard
F-68000 Colmar
France

STATE OF _____
COUNTY OF _____

On _____, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

(SEAL)

CA2V3167.DOC

2-10-1998

No.: 6509-11-CWA

FORM PTO-1595 (Modified)
(Rev. 8-83)
OMB No. 0951-0011 (exp. 9/94)
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P08/REV02



100629468

R SHEET
LYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): <u>Dominique Cade</u> <u>Wei He</u> <u>Robert Scott</u> Additional names(s) of conveying party(ies) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Name: <u>Warner-Lambert Company</u> Internal Address: <u>Legal Division - Patents</u> Street Address: <u>201 Tabor Road</u> City: <u>Morris Plains</u> State: <u>NJ</u> ZIP: <u>07950</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: <u>See Sheet 2 attached</u>

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: May 20, 1997

A. Patent Application No.(s)

08/859,310

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles W. AlmerInternal Address: Warner-Lambert CompanyLegal Division - PatentsStreet Address: 201 Tabor RoadCity: Morris PlainsState: NJZIP: 079506. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

23-1550

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles W. Almer

Name of Person Signing

Charles W. Almer
SignatureJanuary 13, 1998

Date

6

Total number of pages including cover sheet, attachments, and document:

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